

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. H-769-03		SERIAL NO.	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT H. ARITA et al			
				FILING DATE April 24, 2001		GROUP 2836	
<b>U.S. PATENT DOCUMENTS</b>							
* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
SP	AA	4,837,671	06/06/89	Wild et al	—	—	
SP	AB	5,274,571	Dec. 1993	Hesse et al	—	—	
SP	AC	5,479,358	Dec. 1995	Shimoda et al	—	—	
SP	AD	3,909,677	Sep. 1975	McClain	—	—	
SP	AE	5,537,339	Jul. 1996	Naganuma et al	—	—	
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
SP	AL	58-204733	11/29/93	Japan	—	—	<input type="checkbox"/> <input checked="" type="checkbox"/>
SP	AM	56-112828	09/05/81	Japan	—	—	<input type="checkbox"/> <input checked="" type="checkbox"/>
	AN						<input type="checkbox"/> <input type="checkbox"/>
	AO						<input type="checkbox"/> <input type="checkbox"/>
	AP						<input type="checkbox"/> <input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
SP	AR	New Scientist: Global Power, The Electric Hyper Grid; 8 July 1995, No. 1985.					
SP	AS	CIGRE Keynote Address Paris Sunday 28, August 1994.					
SP	AT	"IBM and Siemens service lets utilities sell and buy electric transmission capacity over the Internet," November 12, 1996.					
EXAMINER <i>Spole / DN</i>				DATE CONSIDERED 3/12/03			

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	AN					<input type="checkbox"/>	<input type="checkbox"/>
	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

SP	AR	The Emissions Trader, Volume 2, Issue 1, January 1998.
SP	AS	Public Policy for the Private Sector, March 1995, International Power Connections.
SP	AT	North American Electric Reliability Council, June 1996, Available Transfer Capability Definitions and Determinations.

EXAMINER <i>Spolke / JN</i>	DATE CONSIDERED 3/12/03
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AP					<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Sp	AR	"Worldwide Interconnections May be an Idea Whose Time has Come," Peter Meisner, December 1992.
Sp	AS	"The Economic Environmental and Developmental Benefits of High Voltage Interconnections Between South and North America via Central America and the Caribbean," Michael Wolfe et al, June 1993.
Sp	AT	International Journal of Global Energy Issues, Vol. 3, No. 3, 1991, "Is it possible and expedient to create a global energy network?" Y. Rudenko et al.

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

SP	AR	Centre for Science and Technology for Development, Advanced Technology Assessment System, Issue 6, Autumn 1991 "Advanced Electric Power Transmission Technologies, Sergio Frontin et al.
		"Remote Renewable Energy Resources made Possible by International Electrical Interconnections-A priority for all Continents", TJ Hammons et al., (no date).
SP	AS	Electrical Transmission, Sten Bohlin et al, ABB Power Systems AB,
		no date available.

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	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		Tech Articles from the GENI org website. No date available.
SP	AS		APREC (Asia Pacific Rim Electricity Cooperation), copyright by Hitachi, 1998.
SP	AT		"Linking Renewable Energy Resources Around the World: A Compelling Global Strategy," Peter Meisen, June 1996.

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	AP						<input type="checkbox"/>	<input type="checkbox"/>

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SP	AR	Perspectives in Energy, 1994-1995, vol. 3, pages 291-297, "Possible Directions in Development of the Global Electric Network," Nikolai I. Voropai et al.
SP	AS	"The GENI Model," Paul Michael Dekker et al, no date available.
	AT	

EXAMINER

SP / [Signature]

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3/12/03

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